

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Harry S. Sowden, et al.

Serial No. : 10/743,364

Art Unit: 1615

Filed : December 22, 2003

Examiner:

For : SYSTEMS, METHODS AND APPARATUSES FOR MANUFACTURING
DOSAGE FORMS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This copy is supplemental to the Information Disclosure Statement mailed on March 16, 2006.

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☐ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months

of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☒ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee.

Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☒ Copies of only foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98 (a) (2).

☒ There are no listed references which are not in the English language.

☐ The relevance of those listed references which are not in the English language is as follows:

☐ Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

Copies of the references listed on the search report(s) are included except for those previously cited in an IDS mailed .

☐ Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/MCP0293DIV2/DRC.

Respectfully submitted,

____/David R. Crichton/
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 3

Application Number	10/743,364
Filing Date	12/22/2003
First Named Inventor	Harry S. Sowden
Group Art Unit	1615
Examiner Name	
Attorney Docket Number	MCP-0293DIV2

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
		599,865		Richards	1/1898	
		2,307,371		Hileman	5/43	
		3,029,752		Frank	4/1962	
		3,726,622		DeTroyer et al.	4/73	
		3,804,570		Hoschele et al.	4/74	
		3,832,252		Higuchi et al.	8/74	
		4,292,017		Doepel	9/81	
		4,371,516		Gregory et al.	2/1983	
		4,392,493		Niemeijer	7/83	
		4,473,526		Buhler et al.	9/84	
		4,518,335		Pujari	5/85	
		4,544,345		Buhler et al.	10/85	
		4,569,650		Kramer	2/86	
		4,813,818		Sanzone	3/89	
		4,781,714		Eckenhoff et al.	11/88	
		4,820,524		Berta	4/89	
		4,965,027		Takahashi	10/90	
		5,059,112		Wieser	10/91	
		5,089,270		Hampton et al.	2/92	
		5,146,730		Sadek et al.	9/92	
		5,200,191		Steele et al.	4/93	
		5,213,738		Hampton et al.	5/93	
		5,228,916		Berta	7/93	
		5,229,164		Pins et al.	7/93	
		5,415,668		Smith et al.	5/95	
		5,436,026		Berta	7/95	

Examiner Signature	Date Considered
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¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 3

Application Number	10/743,364
Filing Date	12/22/2003
First Named Inventor	Harry S. Sowden
Group Art Unit	1615
Examiner Name	
Attorney Docket Number	MCP-0293DIV2

U.S. PATENT DOCUMENTS

	5,459,983		Sadek et al.	10/95	
	5,464,631		Hoover et al.	11/95	
	5,511,361		Sauter	4/96	
	5,538,125		Berta	7/96	
	5,609,010		Sauter	3/97	
	5,679,406		Berta	10/97	
	5,795,588		Sauter	8/98	
	5,824,338		Jacobs et al.	10/98	
	5,830,501		Dong et al.	11/98	
	5,830,502		Dong et al.	11/98	
	5,834,035		Osada et al.	11/98	
	5,837,301		Arnolt et al.	11/98	
	5,942,034		Brehant et al.	8/99	
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	6,001,391		Zeidler, et al.	12/1999	
	6,117,479		Hogan et al.	9/2000	
	6,149,943		McTeigue et al.	11/2000	
	6,350,398	B1	Breitenbach, et al.	2/2002	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T ²
		Office ³	Number ⁴	KindCode ⁵				
		WO	9902136		Grellther	01/21/1999		
		WO	03/020246	A1	SmithKline Beecham PLC	03/13/2003		
		WO	9407470		Pfizer, Inc.	04/14/1994		
		NL	8602556		Alza Corporation	10/10/1986		
		FR	2 604 904		Alza Corporation	10/13/1986		
		GB	1 235 926	A	USM Corporation	06/16/1971		

Examiner
SignatureDate
Considered

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/APTO	Application Number		10/743,364
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 3 of 3	Filing Date		12/22/2003
	First Named Inventor		Harry S. Sowden
	Group Art Unit		1615
	Examiner Name		
	Attorney Docket Number		MCP-0293DIV2

[illegible]

Examiner Signature	Date Considered
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